

If you have any questions please contact an Account Manager on 1800 805 972 Intl 612 9236 3471 between 8am – 6pm Sydney time Monday to Friday. Complete this application when security for a Suncorp Bank Margin Lending Facility is being provided by a person who is not the borrower (i.e. a “Third Party Security Provider”).

Third Party Security Provider’s Checklist ☑ Tick once complete

- Read the Suncorp Bank Margin Lending Terms and Conditions and satisfy yourself that you fully understand the implications of being a Third Party Security Provider for a Margin Lending Facility before you complete the relevant sections of this application.
- Complete the Borrower Details in Section 1.
- Complete your Third Party Security Provider’s Details in Section 2.
- Complete your Third Party Security Provider Individual Applicant Financial Details in Section 3.
- Read and satisfy yourself that you fully understand the Power of Attorney in Section 5.
- All individual Third Party Security Providers MUST complete and sign Section 7.
All company Third Party Security Providers MUST complete and sign Section 8.
- To lodge security on the borrower’s margin lending facility, please complete the relevant transfer forms or refinance authority form.
- Verification of income
- Proof of identity requirements

Individuals

Each individual must attach a certified copy of one form of personal identification as indicated in the list of Acceptable Certifiers below.

The identification provided must contain the individual’s FULL NAME, and either RESIDENTIAL ADDRESS (not a PO Box) or DATE OF BIRTH.

- Australian/State Territory driver’s licence containing a photograph of the person
- A current Australian Passport (or one that has expired within the previous 2 years)
- Card issued under State/Territory for the purpose of proving a person’s age, containing a photograph of the person
- Foreign passport or similar travel document containing a photograph and signature of the person*

*Documents must be written substantially in English.

If you are unable to provide one of the forms of identification detailed above, please contact an Account Manager on 1800 805 972 between 8am – 6pm Sydney time Monday to Friday for further options.

Companies

Suncorp Bank Margin Lending will conduct an ASIC search once your application has been received as Proof of Identification for the Company.

Trusts

Trustee borrowers must provide a copy of the dated and stamped trust deed certified by a Justice of the Peace, accountant, legal adviser, or financial adviser to be a true and complete copy.

Please note the following:

- Companies must attach a \$139 cheque for the company application fee made payable to Suncorp Bank Margin Lending. This fee is not refundable if the application is rejected or not pursued.
- Trusts must attach a cheque for \$165 for trust vetting fees made payable to Suncorp Bank Margin Lending. The fee is not refundable if the application is rejected or not pursued.
- Tasmanian residents must have this Third Party Security Provider application registered with the Land Titles Office, located at Level 1, 134 Macquarie Street, Hobart Tas 7000.

Warning

You should seek independent legal and financial advice on the effect of being a third party security provider before you sign this form. There are financial risks involved in signing this form (for example, there is the risk of losing any property that you provide as security for the facility).

You may not be notified in the event of a margin call.

**Please send the completed form and certified copies of identification to:
Suncorp Bank Margin Lending, Reply Paid 1877, Royal Exchange NSW 1225.**

Acceptable Certifiers

Tick once complete

- a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described);
- a judge of a court;
- a magistrate;
- a chief executive officer of a Commonwealth court;
- a registrar or deputy registrar of a court;
- a Justice of the Peace;
- a notary public (for the purposes of the Statutory Declaration Regulations 1993);
- a police officer;
- an agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
- a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public;
- an Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955);
- an officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993);

Section 1 Borrower Details

Name of Borrower/s *(If the Borrower is a Trust or Company please specify the Company or Trust name)*

Borrower/s Client Reference Number *(if known)*

Section 2 Third Party Security Provider Details

First Individual Third Party Security Provider

Title Mr Mrs Ms Miss Dr Other Date of Birth*

Surname* Given Name/s *(in full)*

Driver's Licence Number

Residential Address*

Suburb State Country* Postcode

Mailing Address *(write 'as above' if the same as your Residential Address)*

Suburb State Country Postcode

Home Phone Number Work Phone Number Mobile Phone Number

Email Address Fax Number

*Indicates Mandatory Information

Section 2 Third Party Security Provider Details *(continued)*

Second Individual Third Party Security Provider

Date of Birth*

Title Mr Mrs Ms Miss Dr Other

Surname* Given Name/s *(in full)*

Driver's Licence Number

Residential Address*

Suburb State Country* Postcode

Mailing Address *(write 'as above' if the same as your Residential Address)*

Suburb State Country* Postcode

Home Phone Number Work Phone Number Mobile Phone Number

Email Address Fax Number

Company acting as Third Party Security Provider

Name of Company *(as registered with ASIC)* ACN ABN *(if applicable)*

Registered Office*

Suburb State Country* Postcode

Principal Place of Business*

Suburb State Country* Postcode

Mailing Address *(write 'as above' if the same as your Principal Place of Business)*

Suburb State Country Postcode

Name of Contact Person

Office Held *(e.g. Director, Secretary)* Work Phone Number

Email Address Mobile Phone Number Fax Number

Section 2 Third Party Security Provider Details *(continued)*

Please tick one

Company Registration Type

Public Private

Provide the full name of each Director *(Mandatory for PRIVATE companies)*

1.	5.
2.	6.
3.	7.
4.	8.

Name of Contact Person

Office Held *(e.g. Director, Secretary)*

Work Phone Number

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Email Address

Mobile Phone Number

Fax Number

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For **PRIVATE** companies, provide the **FULL NAME** and **RESIDENTIAL ADDRESS** of any individual shareholder who owns more than 25% of the issued capital of the company (a Beneficial owner)*.

Shareholder/Beneficial Owner 1

Title Mr Mrs Ms Miss Dr Other

Date of Birth*

DD / MM / YY

Surname*

Given Name/s *(in full)*

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Residential Address*

(PO BOX NOT ACCEPTABLE)

Suburb

State

Country*

Postcode

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Shareholder/Beneficial Owner 2

Title Mr Mrs Ms Miss Dr Other

Date of Birth*

DD / MM / YY

Surname*

Given Name/s *(in full)*

--	--

Residential Address*

(PO BOX NOT ACCEPTABLE)

Suburb

State

Country*

Postcode

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Shareholder/Beneficial Owner 3

Title Mr Mrs Ms Miss Dr Other

Date of Birth*

DD / MM / YY

Surname*

Given Name/s *(in full)*

--	--

Residential Address*

(PO BOX NOT ACCEPTABLE)

Suburb

State

Country*

Postcode

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Section 3 Applicant Financial Details

This section is to be completed by individual borrowers and company directors acting as third party security provider on this Suncorp Bank Margin Loan. This includes individual trustee borrowers, and directors of a company trustee. Please complete this section unless Suncorp Bank advises you that this information is not required.

Suncorp Bank is committed to responsible lending practices and as such conducts a comprehensive loan assessment process which includes credit reference agency checking and affordability verification to determine an applicant's capacity to repay the loan. When you apply for a Suncorp Bank Margin Loan, our intention is to ensure that your new facility commitment is manageable. We want to ensure you have enough surplus income to service your facility. Please complete this section accurately and provide supporting income verification documentation as described in Section 9.

Section 3.1 Income Details

Please attach details if there is insufficient space.

Income	Applicant 1	Applicant 2
Gross Annual Salary	\$	\$
Bonus, Overtime & Allowances	\$	\$
Rental Income	\$	\$
Dividends	\$	\$
Interest	\$	\$
Other Income	\$	\$

Section 3.2 Statement of Financial Position – Assets and Liabilities

Please attach details if there is insufficient space.

ASSETS – List all assets whether individually or jointly held.

Asset Type	Description	Value	Percentage Ownership	
			Applicant 1	Applicant 2
Residence	Suburb:	\$	%	%
Investment Property	Suburb:	\$	%	%
Investment Property	Suburb:	\$	%	%
Investment Property	Suburb:	\$	%	%
Cash at bank	Name of institution:	\$	%	%
Existing share/ investment portfolio		\$	%	%
Superannuation		\$	%	%
Other		\$	%	%

LIABILITIES – List all liabilities whether individually or jointly liable.

Liability Type	Description	Limit	Outstanding Balance	Interest Rate	Monthly Payments	Percentage Ownership	
						Applicant 1	Applicant 2
Loan 1		\$	\$	%	\$	%	%
Loan 2		\$	\$	%	\$	%	%
Loan 3		\$	\$	%	\$	%	%
Credit Cards		\$	\$	%	\$	%	%
Rent		\$	\$	%	\$	%	%
Leases		\$	\$	%	\$	%	%
Other		\$	\$	%	\$	%	%

Section 4 Third Party Security Provider HIN Details

HIN Number(s)

Holder Identification Number(s) if known. If not known we will insert later on your behalf.

First Third Party Security Provider HIN

Company Third Party Security Provider HIN

Second Third Party Security Provider HIN

Section 5 Power of Attorney

This section of the application comprises a deed, made on the day indicated in Section 5 by each signatory.

1 Appointment

- 1.1 You (being each Third Party Security Provider) appoint Suncorp Metway Limited, Value Nominees Pty Limited and each of their directors, secretaries and employees separately as your attorneys.
- 1.2 You agree to formally approve anything an attorney does under this power of attorney. You declare that this power of attorney is given for valuable consideration and agree that you may not revoke the appointment.

2 Power

- 2.1 An attorney may, in your name:
 - (a) do everything Suncorp Metway Limited or Value Nominees Pty Limited needs to execute and deliver for you the documents listed in Schedule 1; and
 - (b) do everything Suncorp Metway Limited or Value Nominees Pty Limited needs to execute and deliver for you any documents in connection with the documents referred to in Schedule 1; and
 - (c) do anything which you can do as owner of the 'mortgaged property' (as defined in the Terms and Conditions), or anything which you can do in the transactions completed by the documents listed in Schedule 1: and
 - (d) stamp and register any of the documents listed in Schedule 1.

3 Declaration

- 3.1 You declare that:
 - (a) anything Suncorp Metway Limited or Value Nominees Pty Limited and each of their directors, secretaries and employees does in exercising powers given to them under this power attorney will be binding on you and anyone else as if you had done the acts yourself; and
 - (b) any person who deals with your attorneys in good faith, may accept as true a statement the attorney signs which says:
 - (i) an act of the attorney is a proper exercise of the powers under this power of attorney; and/or
 - (ii) this power of attorney has not been revoked.

4 Indemnity

- 4.1 You agree to indemnify the attorneys against, and you must therefore pay the attorneys on demand for, loss or costs they suffer or incur in exercising powers under this power of attorney.
- 4.2 You authorise the attorneys to exercise the powers under this power of attorney even if this involves a conflict of duty or the attorneys (or a person they know) have a personal interest in doing so.

SCHEDULE 1

Documents covered by this power of attorney:

1. Terms and Conditions between Suncorp Metway Limited, any of its subsidiaries, you and anyone else who signs this application form or who is joined in the agreement, in whatever capacity.
2. Any instrument and any form dealing in any way or relating to the mortgaged property including transfers, exercises of options, redemption requests and any controlling participant arrangement in relation to uncertificated securities.
3. Any application for the issue of certificates under section 1070D of the Corporations Act.
4. Any appointment of a person to hold all or part of the mortgaged property for you as your nominee.

Section 6 Privacy Statement

Suncorp-Metway Limited is a member of the Suncorp Group.
The Privacy Act 1988 (Cth) requires us to inform you that:

Purpose of collection

Personal information is information about an identifiable individual and includes facts or an opinion about you which identifies you or by which your identity can be reasonably determined. The collection of your personal information is essential to enable us to conduct our business of offering and providing you with our range of financial products and services.

We collect personal information for the purposes of:

- identifying and protecting you when you do business with us;
- establishing your requirements and providing the appropriate product or service;
- setting up, administering and managing our products and services;
- assessing and investigating, and if accepted, managing a claim made by you under one or more of our products; and
- training and developing our staff and representatives

We may be required by law to collect your personal information. These include, but are not limited to, anti-money laundering and taxation laws.

Consequences if personal information is not provided

If we request personal information about you and you do not provide it, we may not be able to provide you with the financial product or service that you request, or provide you with the full range of services we offer.

Disclosure

We use and disclose your personal information for the purposes we collected it.

We may also use and disclose your personal information for a secondary purpose that is related to the purpose for which we collected it. This would happen in cases where you would reasonably expect us to use or disclose your personal information for that secondary purpose.

In the case of sensitive information, any secondary purpose, use or disclosure will be directly related to the purpose of collection.

When necessary and in connection with purposes of collection, we may disclose your personal information to and/or collect your personal information from:

- other companies within the Suncorp group;
- where required or authorised under our relationship with our joint venture companies;
- information technology providers, including hardware and software vendors and consultants such as programmers;
- research and development service providers;
- your advisers, agents or representatives;
- our advisers, agents or representatives;
- if required or authorised to do so, regulatory bodies and government agencies;
- financial advisers;
- lenders' mortgage insurers and valuers;
- credit reporting agencies;
- legal and other professional advisers;
- printers and mail house service providers;
- manufacturers for plastic card production (e.g. debit and credit cards);
- external dispute resolution schemes

Disclosure overseas

There are also instances where we may have to send your personal information overseas or collect personal information from overseas. These instances include:

- sending your personal information to companies in the Suncorp group;
- when you have asked us to do so;
- when we are authorised or required by law to do so;
- when we have outsourced a business activity or function to an overseas service provider with whom we have a contractual arrangement;
- certain electronic transactions; or
- when it is necessary in order to facilitate a transaction on your behalf.

We will only send your personal information overseas or collect personal information about you from overseas for the purposes in this statement.

Access

You can request access to the personal information we hold about you by contacting us.

In some circumstances, we are able to deny your request for access to personal information. If we deny your request for access, we will tell you why.

If accessing your personal information will take an extended period of time, we will inform you of the likely delay. For more detailed requests for access to personal information, for example, access to information held in archives, a fee may be charged to cover the associated cost of retrieval and supplying this information.

Marketing

We would like to use and disclose your personal information to keep you up to date with the range of products and services available from Suncorp. Generally, our companies in the Suncorp group will use and disclose your personal information for Suncorp's marketing purposes.

If you do not want us to use and disclose your personal information for the purpose of marketing products and services to you, you should contact us and tell us.

Contact

Please contact us to:

- change your mind at any time about receiving marketing material;
- request access to the personal information we hold about you; or
- obtain more information about our privacy practices by asking for a copy of our Privacy Policy;

You can contact us by calling 13 11 75 or contacting us at suncorpbank.com.au or by visiting any of our branches.

Our Privacy Policy can also be found on our website at suncorpbank.com.au at the bottom of the page by clicking on "Privacy".

Section 7 Declaration and Signing, Individual

Please consider the following carefully before you send us your application. By signing this application:

You acknowledge having read and accepted the Suncorp Bank Margin Lending Product Disclosure Statement, the Suncorp Bank Margin Lending Terms and Conditions, the Supplementary Risk Disclosure Statement, the Suncorp Bank Bank Financial Services Guide, the Privacy Disclosure and Consent, the CHESS explanation and the Power of Attorney conditions.

You acknowledge understanding the risks of Margin Lending and your obligations if we accept your application.

You give our officers and us the power to enter into agreements which bind you and do other things on your behalf without referring to you, including to complete your HIN details in your Sponsorship Agreement.

You acknowledge that you have read and understood the information under the heading Privacy Disclosure and Consent in the Terms and Conditions, and consent to the collection, use and disclosure of personal information in accordance with the Privacy Statement. Where you have provided information about another individual (such as an adviser), you declare that the individual has been made aware of that fact and the contents of the Privacy Statement.

You acknowledge that the information provided to Suncorp Bank in connection to this application is true and correct.

You acknowledge that if there is an event of default, there is the risk of losing any property that has been given as security for the facility.

You acknowledge receiving a copy of the appointment of sponsoring participant (contained in Part 7 of the Terms and Conditions) for your records.

You authorise Suncorp Bank to obtain from a credit reporting agency a report containing personal credit information about me/us and, a report containing information about my/our commercial activities or commercial credit worthiness, to enable Suncorp Bank to assess this application for credit. I/We further consent to and acknowledge that Suncorp Bank may at its discretion obtain second and/or subsequent credit reports prior to funding (settlement) or withdrawal of this application, in order to reassess my/our application for credit.

You acknowledge that in the event of a margin call you may not be notified.

Only sign this application if the above is satisfactory to you. If it is not you must seek independent legal advice.

Signed, Sealed and Delivered as a Deed on **(The date MUST be completed)**

This section MUST be completed

First Third Party Security Provider

Signature

Full Name

Date

Privacy preference – would you like the benefit of receiving information about products and services (which may be supplied by us, other members of the Suncorp Metway Group or preferred providers) which we consider may be of value and interest to you? Please note if you have not ticked any boxes you will be taken to have said YES. YES NO

Witness – Must not be a Borrower/third party security provider

Signature

Full Name

Date

Second Third Party Security Provider

Signature

Full Name

Date

Privacy preference – would you like the benefit of receiving information about products and services (which may be supplied by us, other members of the Suncorp Metway Group or preferred providers) which we consider may be of value and interest to you? Please note if you have not ticked any boxes you will be taken to have said YES. YES NO

Witness – Must not be a Borrower/third party security provider

Signature

Full Name

Date

Section 8 Company Third Party Security Provider or Company Trustee Third Party Security Provider

In all cases, either two directors, one director and one secretary or the sole director/secretary must sign.
 Please consider the following carefully before you send us your application. By signing this application:
 You acknowledge having read and accepted the Suncorp Bank Margin Lending Product Disclosure Statement, the Suncorp Bank Margin Lending Terms and Conditions, the Supplementary Risk Disclosure Statement, the Suncorp Bank Bank Financial Services Guide, the Privacy Disclosure and Consent, the CHESS explanation and the Power of Attorney conditions.
 You acknowledge understanding the risks of Margin Lending and your obligations if we accept your application.
 You give our officers and us the power to enter into agreements which bind you and do other things on your behalf without referring to you, including to complete your HIN details in your Sponsorship Agreement.
 You acknowledge that you have read and understood the information under the heading Privacy Disclosure and Consent in the Terms and Conditions, and consent to the collection, use and disclosure of personal information in accordance with the Privacy Statement. Where you have provided information about another individual (such as an adviser), you declare that the individual has been made aware of that fact and the contents of the Privacy Statement.
 You acknowledge that the information provided to Suncorp Bank in connection to this application is true and correct.
 You acknowledge that if there is an event of default, there is the risk of losing any property that has been given as security for the facility.
 You acknowledge receiving a copy of the appointment of sponsoring participant (contained in Part 7 of the Terms and Conditions) for your records.
 You authorise Suncorp Bank to obtain from a credit reporting agency a report containing personal credit information about me/us and, a report containing information about my/our commercial activities or commercial credit worthiness, to enable Suncorp Bank to assess this application for credit. I/We further consent to and acknowledge that Suncorp Bank may at its discretion obtain second and/or subsequent credit reports prior to funding (settlement) or withdrawal of this application, in order to reassess my/our application for credit.

You acknowledge that in the event of a margin call you may not be notified.

Only sign this application if the above is satisfactory to you. If it is not you must seek independent legal advice.

Signed, Sealed and Delivered as a Deed on **(The date MUST be completed)**

This section MUST be completed

Company Director

Signature

Full Name

Office Held

Date

Privacy preference – would you like the benefit of receiving information about products and services (which may be supplied by us, other members of the Suncorp Metway Group or preferred providers) which we consider may be of value and interest to you? Please note if you have not ticked any boxes you will be taken to have said YES. YES NO

Company Director/Secretary (if applicable)

Signature

Full Name

Office Held

Date

Privacy preference – would you like the benefit of receiving information about products and services (which may be supplied by us, other members of the Suncorp Metway Group or preferred providers) which we consider may be of value and interest to you? Please note if you have not ticked any boxes you will be taken to have said YES. YES NO

Witness – Must not be a Borrower/third party security provider

Signature

Full Name

Date

Witness – Must not be a Borrower/third party security provider

Signature

Full Name

Date

**Please send the completed form and certified copies of the relevant identification to:
 Suncorp Bank Margin Lending, Reply Paid 1877, Royal Exchange NSW 1225.**

Section 9 Verification of Income

Please tick the following options

All documentation to be provided in support of this Suncorp Bank Margin Lending Third Party Security Provider Application is to be original, or a copy of the original. You may place a tick next to the forms of verification that you are attaching to support and verify your main income details you have provided in Section 7 of the Suncorp Bank Margin Lending Third Party Security Provider Application form. Please indicate which applicant each document relates to. Suncorp Bank Margin Lending may request additional information further to the below, where required.

PAYG Income

Please provide the following supporting documentation:

- Two most recent computerised/electronically produced payslips; or
- Salary/employment letter dated no more than 6 weeks prior to the Suncorp Bank Margin Lending Application form date; or
- Most recent employment contract; or
- Last PAYG payment summary/group certificate, or latest income tax return; and
- Evidence of consistent income amounts regularly deposited to a bank account

Applicant 1	Applicant 2
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

Self-employed/Sole Trader Income

Please provide the following documents:

- Signed and audited financial statements of the business (balance sheet and profit and loss statements) for the last 2 years; and
- Income tax returns for the self-employed applicant for the last two years; and
- Last ATO Tax Assessment Notice

Applicant 1	Applicant 2
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

Company and Trust Income

Please provide the following documents for the company or company trustee and the trust:

- Signed and audited financial statements (balance sheet and profit and loss statements) for the last 2 years; and
- Income tax returns for the last 2 years

Applicant 1	Applicant 2
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

Rental Income

Please provide one of the following documents:

- The most recent rental statement from the managing real estate agent, confirming amount of gross and net rent, coupled with confirmation that the property is still tenanted (for each property owned); or
- Latest income tax return

Applicant 1	Applicant 2
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

Interest Income

Please provide one of the following documents:

- Current investment certificate (not older than 12 months); or
- Bank statements/passbooks held in the name/s of the applicant (showing last 6 months history) and confirming amount of investment held and detailed narrative; or
- Latest income tax return

Applicant 1	Applicant 2
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

Dividend Income

Please provide one of the following documents:

- Most recently issued dividend advice for each investment held; or
- Current holding statement evidencing ownership and confirmatory evidence of most recent dividend policy for each investment held; or
- Latest income tax return

Applicant 1	Applicant 2
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>