

If you have any questions please contact our Account Management Team on 1800 805 972 Intl 612 9236 3471, between 8am – 6pm Sydney time Monday to Friday.

Please complete this form to add/change or remove an adviser on your current margin loan facility.

NOTE: Nominated advisors may not receive margin calls on your behalf without a tri party agreement.

Section 1 Borrower's Details

Name of Borrower/s on the Suncorp Bank Margin Lending Facility

Borrower/s Client Reference Number

Section 2 Adviser Request ☑ Please tick one of the following options

I/We request you to: *(Please select one)*

1. Add an Adviser to my/our margin loan account

2. Change the Adviser on my/our margin loan account

3. Remove the existing Adviser from my/our margin loan account

Section 3 Existing Adviser Details

Name of Adviser

AFS Licence Name AFS Licence Number

Dealer Group

Section 4 New Adviser Details

Name of Adviser Date of Birth
 Title Mr Mrs Ms Miss Dr Other DD / MM / YY

Given Name/s *(in full)*

Adviser Company AFS Licence Number

Dealer Group ABN

Mailing Address

Suburb State Country Postcode

Home Phone Number Work Phone Number Mobile Phone Number

Email Address Fax Number

**Please send the completed form and certified copies of the relevant identification to:
 Suncorp Bank Margin Lending PO Box R1877 Royal Exchange NSW 1225 OR Fax to 1300 305 499 Intl 612 9995 8227**

Section 5 Statements
 Please tick

Would you like a copy of your margin lending statement sent to your adviser?

 YES NO
Section 6 Authorised Signatory
 Please tick

Would you like your adviser to be your Authorised Signatory?

 YES NO

If 'YES', your adviser must complete the rest of this section.

If 'NO', please go to Declaration.

By saying 'YES' you give your Adviser the authority to act on your margin lending facility in all matters as if they were you. You must notify us immediately if you wish to revoke this authority at any time.

Have you, the Adviser, already provided Suncorp Bank Margin Lending your Proof of Identity?

 YES NO

If 'YES', please go to Declaration.

If 'NO', please complete details below.

Relationship to Borrower/s

Date of Birth*

DD / MM / YY

Residential Address

Suburb

State

Country

Postcode

Please provide us with a certified copy of the adviser's identification as per the Proof of Identity Requirements.

Proof of identity requirements

Each Authorised Signatory must attach a certified copy of one form of personal identification as indicated below:

- 1. Australian/State Territory driver's licence containing a photograph of the person
- 2. A current Australian Passport (or one that has expired within the previous 2 years)
- 3. Card issued under State/Territory for the purpose of proving a person's age, containing a photograph of the person
- 4. Foreign passport or similar travel document containing a photograph and signature of the person*

*Documents must be written substantially in English.

NOTE: The identification provided must contain the individual's FULL NAME, and either RESIDENTIAL ADDRESS (not a PO Box) or DATE OF BIRTH.

If you are unable to provide one of the forms of identification detailed above, please contact our Account Management Team on 1800 805 972 for further options.

Section 7 Privacy Statement

Privacy is Important....

...especially when you consider the number of ways we communicate and interact these days. That's why we've put together this statement. It explains how we collect, hold, use and disclose your personal information and who we share it with.

And because it's guided by privacy laws, you can be sure it takes your rights seriously.

Above all, this statement is your assurance that we never take your privacy for granted and always take the utmost care in protecting your personal information.

Suncorp-Metway Ltd ("Bank"), is a member of the Suncorp Group, which we'll refer to simply as "the Group".

Why do we collect personal information?

Personal information is information or an opinion about an identified individual or an individual who is reasonably identifiable. The Bank collects personal information so that we can:

- identify you and conduct appropriate checks;
- understand your requirements and provide you with a product or service;
- set up, administer and manage our products and services;
- manage, train and develop our employees and representatives;
- manage complaints and disputes, and deal with dispute resolution bodies; and
- get a better understanding of you, your needs, your behaviours and how you interact with us, so we can engage in product and service research, development and business strategy including managing the delivery of our services and products via the ways we communicate with you.

What happens if you don't give us your personal information?

If we ask for your personal information and you don't give it to us, we may not be able to provide you with any, some, or all of the features of our products or services.

How we handle your personal information

We collect your personal information directly from you and, in some cases, from other people or organisations. We also provide your personal information to other related companies in the Group, and they may disclose or use your personal information for the purposes described in 'Why do we collect personal information?' in relation to products and services they may provide to you.

Under the following Australian laws we may be authorised or required to collect your personal information: Anti-Money Laundering and Counter-Terrorism Financing Act; Income Tax Assessment Acts, Tax Administration Act and A New Tax System (Goods and Services Tax) Act, and any regulations made under those Acts.

We will use and disclose your personal information for the purposes we collected it as well as purposes that are related, where you would reasonably expect us to. We may disclose your personal information to and/or collect your personal information from:

- other companies within the Group;
- any of our Group joint ventures where authorised or required;
- any entity where disclosure to, or collection from, such entity is required or authorised by law;
- customer, product, business or strategic research and development organisations;
- data warehouse, strategic learning organisations, data partners, analytic consultants;
- social media and other virtual communities and networks where people create, share or exchange information;
- publicly available sources of information;
- clubs, associations, member loyalty or rewards programs and other industry relevant organisations;
- a third party that we've contracted to provide financial services, financial products or administrative services – for example; information technology providers, administration or business management services, consultancy firms, auditors and business management consultants, marketing agencies and other marketing service providers, and print/mail/digital/imaging/document management service providers;

- yours or our advisers, agents, representatives or consultants;
- government, statutory or regulatory bodies and enforcement bodies;
- the Financial Ombudsman Service or any other external dispute resolution body;
- manufacturers for plastic card production (eg debit cards); and
- any other organisation or person, where you've asked them to provide your personal information to us or asked us to obtain personal information from them, eg your mother.

We'll use a variety of methods to collect your personal information from, and disclose your personal information to, these persons and organisations, including written forms, telephone calls and via electronic delivery. We may collect and disclose your personal information to these persons and organisations during the information life cycle, regularly, or on an ad hoc basis, depending on the purpose of collection.

Overseas Disclosure

Sometimes, we need to provide your personal information to – or get personal information about you from – persons located overseas, for the same purposes as in 'Why do we collect personal information?'.

The list of countries Suncorp usually disclose personal information to is in our Suncorp Group Privacy Policy. Please go to www.suncorp.com.au/privacy to see our Suncorp Group Privacy Policy or call 13 11 75 to obtain a list of countries Suncorp usually disclose personal information to.

From time to time, we may need to disclose your personal information to, and collect your personal information from, other countries not on this list. Nevertheless, we will always disclose and collect your personal information in accordance with privacy laws.

Your personal information and our marketing practices

Every now and then, we and any related companies that use the Suncorp brand might let you know – including via mail, SMS, email, telephone or online – about news, special offers, products and services that you might be interested in. We will engage in marketing unless you tell us otherwise. You can contact us to update your marketing preferences at any time.

In order to carry out our direct marketing we collect your personal information from and disclose it to others that provide us with specialised data matching, trending or analytical services, as well as general marketing services (you can see the full list of persons and organisations under 'How we handle your personal information'). We may also collect your personal information for marketing through competitions and by purchasing contact lists.

We, and other people who provide us with services, may combine the personal information collected from you or others, with the information we, or companies in our Group, or our service providers already hold about you. We may use online targeted marketing, data and audience matching and market segmentation to improve advertising relevance to you.

How to access and correct your personal information or make a complaint

You have the right to access and correct your personal information held by us and you can find information about how to do this in the Suncorp Group Privacy Policy.

The Policy also includes information about how you can complain about a breach of the Australian Privacy Principles and how we'll deal with such a complaint. You can get a copy of the Suncorp Group Privacy Policy. Please use the contact details in Contact Us if you wish to do so.

Contact us

For more information about our privacy practices including overseas disclosure or to tell us about your marketing preferences you can visit: www.suncorp.com.au/privacy.

Alternatively, you can get in touch directly by contacting us on:

Phone: 13 11 75
 Email: privacyaccessrequests@suncorp.com.au
 Mail: Suncorp Bank GPO Box 1453, Brisbane Qld 4001
 or by visiting a Suncorp Bank Branch

Section 8 Nominated Adviser Signing Section

I confirm that the details provided are true and correct.

I acknowledge I have read and accepted the Suncorp Bank Privacy Statement.

I agree to Suncorp transmitting my/our personal information by electronic means as well as contacting me by SMS Messaging and/or E-Mail regarding my account where I have included my mobile telephone number or E-Mail address as part of this application. I accept that there is a risk that information may come into the possession of another person not entitled to receive it and acknowledge that while Suncorp will take all reasonable steps to protect my privacy, it accepts no liability for breach of confidentiality or damages for loss I might suffer provided Suncorp can show it communicated to me at the electronic address or number provided by me.

Financial Adviser

Signature

Full Name

Date

Every now and then, we and any related companies that use the Suncorp brand would like to keep in touch with you about news, offers, products and services that might be of interest to you.

You can change your marketing preferences at any time by calling 13 11 75, updating your preferences in Internet Banking, or by visiting your local branch. Please note that we may still contact you using these methods for non-marketing purposes.

Section 9 Declaration

I/We authorise the person/s identified as the New Adviser in Section 4 to act on my/our behalf in relation to my/our margin lending facility.

I/We authorise the revocation and/or changes to my/our existing Adviser as identified in this form.

Borrower 1/Trustee 1/Director 1

Borrower 2/Trustee 2/Director 2 or Secretary

For Company or Company Trustee Borrowers, either two directors, one director and one secretary or the sole director/secretary must sign.

Signature

Signature

Full Name

Full Name

Date

Date